



PATENT  
01393-P0035A GSW/SPM

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants	Sami Yazdi, <i>et al.</i>
Serial No. 09/587,536	Filing Date: June 5, 2000
Title of Application:	Hand-Held Electronic Tester For Telecommunications Networks

Assistant Commissioner for Patents  
Washington, DC 20231

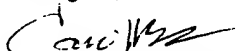
**Request for Refund (37 C.F.R. 1.28)**

Dear Sir:

- Submission of Verified Statement.** Attached is a verified statement claiming small entity status in this application.
- Refund Request.** The request for refund is made within two months of the date a fee was paid in this application on June 5, 2000 in the amount of \$920.00.
- Fees Paid for which Refund is Requested.** One half of the filing fee in the amount of \$462.00.

**Mailing Certificate:** I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *First Class Mail* in an envelope addressed to: Assistant Commissioner for Patents and Trademarks; Washington, DC 20231.

July 12, 2000

  
Caroline Gahagan



July 12, 2000

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Gene S. Winter".

---

Gene S. Winter, Registration No., 28,352  
Stephen P. McNamara, Registration No. 32,745  
Attorneys for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155



SECTOR  
PATENT  
01393-P0035A GSW/SPM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants		Sami Yazdi, <i>et al.</i>
Serial No. 09/587,536	Art Unit 2739	Filing Date: June 5, 2000
Application Title:		Hand-Held Electronic Tester For Telecommunications Networks

**Filing of Missing Parts**

Dear Sir:

Applicants hereby enclose for filing in response to the Notice to File Missing Parts of Application - Filing Date Granted dated December 19, 2000 (copy enclosed), the Declaration of the Inventors executed on June 22, 2000, and payment of the required fee in the amount of \$65.00, Applicants having small entity status.

Applicants note that the Notice of File Missing Parts indicated that a balance is due in the amount of \$592.00. This application was filed as a large entity (\$690.00) with 18 claims, six of which claims were independent (\$234.00) for a total filing fee of \$924; payment of these fees were included with the filing. Copies of the Application Transmittal and Fee Transmittal are enclosed.

On July 12, 2000, Applicants filed a request for Refund for \$462.00 and submitted a Small Entity Statement (copies enclosed).

**Certificate of Mailing:** I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Box Missing Parts; Assistant Commissioner for Patents; Washington, DC 20231.

January 2, 2001

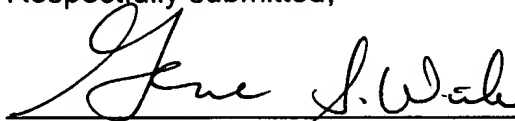
  
Caroline Gahagan

Page two  
Serial No. 09/587,536  
Filing of Missing Parts

Applicants believe that no further fees are due and request clarification of the Patent Office's assertion of a balance due in the amount of \$592.00

The Commissioner is hereby authorized to charge any additional fees by this paper and during the entire pendency of this Application to Account No. 19-4516.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Gene S. Winter", is written over a horizontal line.

Gene S. Winter, Registration No. 28,352  
Stephen P. McNamara, Registration No. 32,745  
Attorneys for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street; Stamford, CT 06905-5619  
203 324-6155



Please type a plus sign (+) inside this box →

+

PTO/SB/05 (4/98)  
Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket	01393- P0035A	
First Inventor or Application Identifier		Sami Yazdi, et al.		
Title	Hand-Held Electronic Tester For Telecommunications Networks			
Express Mail Label No.	EL576896475US			
<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)		
2. <input checked="" type="checkbox"/> Specification [Total Pages 27] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the invention</li><li>- Brief Summary of the invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claims(s)</li><li>- Abstract of the Disclosure</li></ul>		6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>		
3. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) [Total Sheets 19]		<b>ACCOMPANYING APPLICATION PARTS</b>		
4. Oath or Declaration [Total Pages 0] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> New executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 16 completed)</li><li>c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventors(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
<b>NOTE FOR ITEMS 1 &amp; 4:</b> IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 CFR § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 CFR § 1.28).		8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (where there is an assignee)		
		9. <input type="checkbox"/> English Translation Document (if applicable)		
		10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
		11. <input type="checkbox"/> Preliminary Amendment		
		12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
		13. <input type="checkbox"/> * Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) Status still proper and desired (PTO/SB/09-12)		
		14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		
		15. <input type="checkbox"/> Other: .....		
16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information				
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application No.:				
Prior application information: Examiner Group/Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.				
<b>18. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number of Bar Code Label 24126 (Insert Customer No or Attach bar code label here) <input checked="" type="checkbox"/> Correspondence address below				
NAME	Stephen P. McNamara			
ADDRESS	St. Onge Steward Johnston & Reens LLC 986 Bedford Street			
CITY	Stamford	STATE	CT	
COUNTRY	United States	TELEPHONE	203 324-6155	
		ZIP CODE	06905-5619	
		FAX	203 327-1096	

Name (Print/Type)	Stephen P. McNamara	Registration No. (Attorney/Agent)	32,745
Signature		Date	6/5/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PTO/SB/17 (12/97)  
Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

Complete if Known

Application No.	Pending
Filing Date	June 5, 2000
First Named Inventor	Sami Yazdi, et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	01393-P0035A GSW/SPM

TOTAL AMOUNT OF PAYMENT

(\$) 924.00

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 19-4516  
Deposit Account Name: St. Onge Steward Johnston & Reens LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.18 and 1.17 ☐ Charge the issue Fee Set in 37 CFR 1.18 of the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$) 690.00

#### 2. EXTRA CLAIMS FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
18	0		0
Independent Claims: 6	3	78.00	234.00
Multiple Dependent (No.)			0

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claims
108	78	208	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 234.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing for or oath	
127	50	227	25	Surcharge - late provisional filing or cover Sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner Action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Informational Disclosure Stmt	
581	40	581	40	Recording each patent assignment per Property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37/CFR 1.129(a))	
149	279	249	395	For each additional invention to be Examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					

\* Reduced by Basic Filing Fee paid

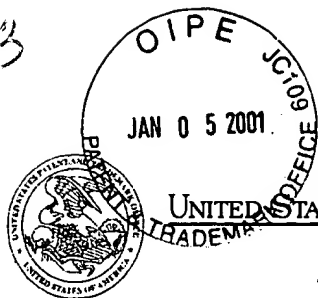
SUBTOTAL (3) (\$)

SUBMITTED BY St. Onge Steward Johnston & Reens LLC

Complete (if applicable)

Typed or Printed Name	Stephen P. McNamara	Reg. Number	32,745
Signature		Date	6/5/00
		Deposit Account User ID	19-4516

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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St. Onge Steward Johnston & Reens

6:50 PM DEC 21 2000

2-19-01 Declaration  
2-19-01  
DK1

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/587,536	06/05/2000	Sami Yazdi	01393-P0035A

24126  
ST. ONGE STEWARD JOHNSTON & REENS, LLC  
986 BEDFORD STREET  
STAMFORD, CT 06905-5619

#### FORMALITIES LETTER



\*OC000000005627607\*

Date Mailed: 12/19/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 592.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

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